



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/939,066	08/24/2001	Joan LaVerne Mitchell	CHA920010012US1	4252
23550	7590	11/19/2004	EXAMINER	
HOFFMAN WARNICK & D'ALESSANDRO, LLC			CHEN, WENPENG	
3 E-COMM SQUARE			ART UNIT	PAPER NUMBER
ALBANY, NY 12207			2624	

DATE MAILED: 11/19/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

Application No.

09/939,066

Applicant(s)

MITCHELL ET AL.

Examiner

Wenpeng Chen

Art Unit

2624

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☐ Responsive to communication(s) filed on \_\_\_\_.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-40 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-40 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☒ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 24 August 2001 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- ☒ Notice of References Cited (PTO-892)
- ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- ☒ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date 8/24/2001.
- ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_.
- ☐ Notice of Informal Patent Application (PTO-152)
- ☐ Other: \_\_\_\_.

***Information Disclosure Statement***

1. The information disclosure statement filed on 8/24/2001 fails to comply with 37 CFR 1.98(a)(2), which requires a legible copy of each U.S. and foreign patent; each publication or that portion which caused it to be listed; and all other information or that portion which caused it to be listed.

Document "Internal Image Statements" is listed to have 4 pages from 43 to 46. However, the document on PTO record has only page 43. The reference has been placed in the application file, but the information referred to therein has not been considered.

***Specification***

2. The attempt to incorporate subject matter into this application by reference to US application 09/760,383 (lines 1-3, page 14) is improper because itself incorporates "essential material" by reference to European Patent application EP 188193.

***Claim Rejections - 35 USC § 101***

3. Claim 40 is rejected under 35 U.S.C. 101 because the claimed invention is directed to non-statutory subject matter.

Art Unit: 2624

The main body of Claim 40 recites only program codes. Although the preamble mentions a computer usable medium, the computer program product as required in the claim body is program code per se.

***Claim Rejections - 35 USC § 102***

4. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

5. Claims 1-4, 11-12, and 14-19, 30-36, and 38-40 are rejected under 35 U.S.C. 102(e) as being anticipated by Keller et al. (US patent application publication 2002/0102028.)

a. For Claims 1-4, 11-12, and 14-19, Keller teaches a method for managing an image of an object stored in a database, the method comprising the steps of:

-- reducing a storage size of the image from a base level to at least one secondary level based on reduction criteria, wherein each secondary level is smaller in storage size than the base level;

-- repeating the step of reducing to reduce the storage size of the image from one secondary level to another secondary level based on the reduction criteria; (sections 0061-0065,

0071, 0078-0091; The server computer 13 creates at least two versions of secondary-level images. The compression is repeated at least twice.)

-- wherein the step of repeating occurs after expiration of a predetermined duration; (sections 0061-0065, 0070-0071; The reducing process includes a process of deleting the original image data S org from temporary medium 11 after a predetermined period and storing only S1 and S2. This is a storage-size reduction process.)

-- wherein the image is of a document; (section 0055; The images are medical images that are medical documents.)

-- wherein the image includes a plurality of images; (section 0092)

-- wherein the step of reducing includes compressing the image; (section 0061)

-- wherein an initial step of reducing includes deleting a portion of the image; (section 0061; Because S1 image is irreversibly compressed, portion of image data is deleted.)

-- wherein the reduction criteria includes at least one of: available data storage, time since object creation, time since object imaging, prior size reduction, prior access by user, object value, user account type, volume of objects per user account, user total account value, a user selection, user fees paid, user account history, suspicious activity and object part imaged; (sections 0084-0091; The size-reduction criteria includes information of the doctor or group of doctors using the images that is information of user selection.)

-- maintaining a copy of image at the base level; (section 0061 and claim 1; The original image is stored.)

-- replacing the image at the secondary level with a copy of the image at the base level when a user requests access to the copy of the image at the base level; (section 0080-0081; When

Art Unit: 2624

a base-level image is requested, image data are progressively decompressed. In the decompressed process, the image at the last secondary level is replaced with the image at the base level.)

-- wherein the user includes an indication of the duration that the base level will be required when the user requests the copy of the image at the base level; (section 0071)

-- wherein a final step of reducing includes purging the image. (section 0071; At the end of a predetermined period, the original image data are deleted (purged).))

b. For Claims 30-36 and 38, Keller teaches a system for managing storage size of an image of an object where the image is accessed by a user online (Fig. 1), the system comprising:

-- a size-reduction evaluator to periodically evaluate whether the image is subject to a size reduction based on size-reduction criteria; (sections 0061-0065, 0071; The server 1 evaluates each input image and bases on at least criteria set forth in sections 0064 and 0071 to decide compression ratio and storage both influence the total image data size to be stored. The part of the server performing the evaluation is the evaluator.)

-- a size reducer to reduce the size of the image based on instructions from the size-reduction evaluator; (sections 0061-0065; The server 1 evaluates each input image and bases on at least criteria set forth in section 0064 to decide compression ratio. The part of the server performing the compression is the size reducer.)

-- a designator to assign the image a designation indicative of the status of the image based on the size-reduction criteria; (sections 0065-0066; At least there are three versions. The part of the server labeling the version is the designator.)

Art Unit: 2624

-- wherein the size-reduction criteria includes at least one of: prior size-reduction, prior access by user, object value, user account type, volume of objects in user account, user total account value, a user selection, user fee paid, user account history and object side imaged; (sections 0084-0091; The size-reduction criteria includes information of the doctor or group of doctors using the images that is information of user selection.)

-- wherein the size-reduction criteria includes real-time factors including at least one of: available data storage, suspicious activity, time since object creation and time since object imaging; (sections 0071, 0084-0091; The size-reduction criteria includes information of memory size of the computer that is information of available data storage. The image data S is deleted after a predetermined period of time.)

-- a storage module to save a substantially lossless quality version of the image; (section 0061; Both original image data S org and reversible-compressed image data of S can be stored. Both have lossless quality.)

-- wherein the size-reduction evaluator determines whether to leave the image alone, reduce the storage size of the image or purge the image; (sections 0061-0065, 0071; claim 1)

-- wherein a first activation of the size reducer purges an image portion of the image; (sections 0061-0065, 0071; The irreversible compression deletes portions of image data thus purging an image portion of the image.)

-- wherein the size-reduction evaluator determines a reduction/purging rule to be followed by the size reducer based on the reduction criteria. (sections 0061-0065, 0071; See explanation above.)

c. The above-cited passages also teach the system of Claim 39.

Art Unit: 2624

d. With regard to Claim 40, Keller teaches that server computer 13 performs all the above-cited functions. Server computer 13 inherently comprises a computer useable medium having computer readable program code embodied therein to carry out the above-cited functions. Therefore, Keller also teaches Claim 40.

*Claim Rejections - 35 USC § 103*

6. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

7. Claims 21-23 and 25-29 are rejected under 35 U.S.C. 103(a) as being unpatentable over Keller et al. (US patent application publication 2002/0102028) in view of Morris et al. (US patent 5,153,936.)

As discussed above with regard to Claims 1-4, 11-12, and 14-19, 30-36, and 38-40, Keller teaches a method and system of managing storage size of an image of an object, wherein the image is accessed by a user, the method comprising the steps of:

- reducing the storage size of the image based on reduction criteria to create a size-reduced version;
- wherein the step of reducing includes compressing the image;



Art Unit: 2624

-- wherein the image is in a compressed format and the step of reducing includes achieving more compression;

-- wherein an initial step of reducing includes deleting a portion of the image;

-- wherein the reduction criteria includes at least one of: available data storage, time since object creation, time since object imaging, prior size-reduction, prior access by user, object value, user account type, volume of objects per user account, user total account value, user selections, user fees paid, user account history, suspicious activity and object side imaged;

-- maintaining a substantially lossless quality version of the image;

-- allowing the user to access the substantially lossless quality version upon request;

-- wherein a final step of reducing includes purging the image.

Keller teaches that the method further comprises:

-- allowing user access to the original image data and the reversible compressed image data from the high readout-speed capable storage medium for a predetermined duration. (section 0071)

However, Keller does not explicitly teach the steps of allowing and repeating recited in Claim 21.

-- allowing user access to the size-reduced version for a predetermined duration;

-- repeating the steps of reducing and allowing after expiration of the predetermined duration.

Morris teaches:

-- allowing user access to a size-reduced version for a predetermined duration; (column 7, lines 11-50)

Art Unit: 2624

-- repeating steps of reducing and allowing after expiration of the predetermined duration.

(column 9, lines 37-53)

It is desirable to release memory of high readout-speed capable storage medium for storing new data in high readout-speed capable storage medium by deleting data that have not been generated for an aging period. It would have been obvious to one of ordinary skill in the art, at the time of the invention, to apply Morris' teaching to delete Keller's image data S1 and S2 from the high readout-speed capable storage medium and regenerate the S1 and S2 upon request after the predetermined period, because the combination makes better use of the high readout-speed capable storage medium. The combination thus teaches the steps of:

-- allowing user access to the size-reduced version for a predetermined duration;

-- repeating the steps of reducing and allowing after expiration of the predetermined duration.

8. Claim 20 is rejected under 35 U.S.C. 103(a) as being unpatentable over Keller et al. (US patent application publication 2002/0102028) applied to Claim 1 as discussed above, and further in view of Ishida (Japanese patent JP 09181892 A in IDS filed on 8/24/2001.)

Keller teaches the parent Claim 1 as discussed above. However, Keller does not teach the feature related to statistical data recited in Claim 20.

Ishida teaches a document storage and retrieval system and method, comprising:

-- maintaining statistical data for comparison with a criterion for compressing image data.

(Figs. 3-4 and the attached English summary; The data are discriminated to be whether

Art Unit: 2624

frequently used or not. This discrimination inherently needs statistical data of the number of usage. )

It is desirable to speed up image retrieval and display. It would have been obvious to one of ordinary skill in the art, at the time of the invention, to apply Ishida's teaching to maintain statistical data of the number of usage of Keller's image as a criterion for deciding data reduction, because the combination speeds up image retrieval and display of frequently-used images. The combination thus teaches the step of:

-- maintaining statistical data for comparison with the reduction criteria.

9. Claims 5-6 and 8-10 are rejected under 35 U.S.C. 103(a) as being unpatentable over Keller et al. (US patent application publication 2002/0102028) applied to Claim 1 as discussed above, and further in view of Takayama (US patent 5,970,176.)

Keller teaches the parent Claim 1 as discussed above. Keller further teaches:

-- wherein the compressed format is a JPEG baseline compression format; (section 0029; JPEG and progressively expandable compression are used in different embodiments. Therefore, one approach uses a JPEG baseline compression format.)

-- wherein a first secondary level exhibits lower image quality compared to the base level and a second secondary level exhibits lower image quality compared to the first secondary level; (sections 0061-0065)

-- wherein the at least two secondary levels includes at least three secondary levels; and a third secondary level exhibits lower image quality compared to the second secondary level. (section 0061; claim 1; There are uncompressed original image data, reversible compressed

Art Unit: 2624

image data and S1 and S2 irreversible compressed image data. Quality of S2 is lower than that of S1.)

However, Keller does not teach the feature related to different Q-tables recited in the listed claims.

Takayama teaches a method and system for generating image data of various compressed levels for network communication, comprising:

- using JPEG compression; (Fig. 4)
- wherein a base level is a compressed format and each secondary level has a different Q-table than the base level and the at least one secondary level includes at least two secondary levels, each secondary level having a different Q-table than every other secondary level. (column 3, lines 25-63; Fig. 6A1; Three Q-tables that are fine, standard, and course are used for various compression levels.)

It is desirable to have flexibility of generating image data of various compression levels. It would have been obvious to one of ordinary skill in the art, at the time of the invention, to apply Takayama's teaching to quantize Keller's image with different Q-tables for generating image data of various levels, because the combination provides flexibility of generating image data of various compression levels.

10. Claim 7 is rejected under 35 U.S.C. 103(a) as being unpatentable over the combination of Keller et al. (US patent application publication 2002/0102028) and Takayama (US patent 5,970,176) applied to Claim 5 as discussed above, and further in view of Sato et al. (US patent application publication 20020003905.)

Art Unit: 2624

The combination of Keller and Takayama teaches the parent Claim 5 as discussed above.

However, the combination does not teach the feature related to JPEG2000 recited in the listed claim.

Sato teaches image compression for file management comprising the feature:

-- wherein the compressed format is a JPEG 2000 compression format. (sections 82 and 245)

It is desirable to have flexibility of controlling, transmitting, and displaying compressed image data over various systems. It is well known JPEG 2000 compression provides such flexibility. It would have been obvious to one of ordinary skill in the art, at the time of the invention, to extend Sato's JPEG2000 compression to compress Keller's image, because the combination provides the above-stated flexibility.

11. Claims 13 and 37 are rejected under 35 U.S.C. 103(a) as being unpatentable over Keller et al. (US patent application publication 2002/0102028) applied to Claims 1 and 30 as discussed above, and further in view of Takayama (US patent 5,970,176) and Christopoulos et al. (US patent 6526099.)

Keller teaches the parent Claims 1 and 30 as discussed above.

However, Keller does not teach the feature related to decoding compressed data, Q-tables, and recoding recited in the listed claims.

Takayama teaches selecting various Q-tables as discussed above. The advantage of combining Keller's and Takayama's teachings is also provided above.

However, the combination of Keller and Takayama does not teach the feature related to decoding compressed data and recoding recited in the listed claims.

Christopoulos teaches transcoding of compressed image data comprising the feature:

-- wherein the image is in a compressed format and the step of reducing includes entropy decoding the image, changing quantized coefficients and quantization tables, and entropy recoding the image. (Fig. 1; column 16, lines 31-52; VLD in Fig. 1 is entropy decoding. VLC<sub>4</sub> in Fig. 1 is entropy recoding. Quantization is change from Q<sub>1</sub> to Q<sub>2</sub>,

It is desirable to be able to reprocess existed compressed medical image for improving image retrieval. It would have been obvious to one of ordinary skill in the art, at the time of the invention, to extend Christopoulos' transcoding approach to recompress images in the system and method taught by the combination of Keller and Takayama, because the combination improves image retrieval.

12. Claim 24 is rejected under 35 U.S.C. 103(a) as being unpatentable over the combination of Keller and Morris applied to Claim 23 as discussed above, and further in view of Takayama (US patent 5,970,176) and Christopoulos et al. (US patent 6526099.)

The combination of Keller and Morris teaches the parent Claim 23 as discussed above.

However, the combination does not teach the feature related to decoding compressed data, Q-tables, and recoding recited in the listed claim.

Takayama teaches selecting various Q-tables as discussed above. The advantage of combining Keller's and Takayama's teachings is also provided above. Similar combination and advantage are also applied to the combination of Keller, Morris, and Takayama.

However, the combination of Keller, Morris, and Takayama does not teach the feature related to decoding compressed data and recoding recited in the listed claims.

Christopoulos teaches transcoding of compressed image data comprising the feature:

-- wherein the image is in a compressed format and the step of reducing includes entropy decoding the image, changing quantized coefficients and quantization tables, and entropy recoding the image. (Fig. 1; column 16, lines 31-52; VLD in Fig. 1 is entropy decoding. VLC<sub>4</sub> in Fig. 1 is entropy recoding. Quantization is change from Q<sub>1</sub> to Q<sub>2</sub>,

It is desirable to be able to reprocess existed compressed medical image for improving image retrieval. It would have been obvious to one of ordinary skill in the art, at the time of the invention, to extend Christopoulos' transcoding approach to recompress images in the system and method taught by the combination of Keller, Morris, and Takayama, because the combination improves image retrieval.

### ***Conclusion***

13. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Wenpeng Chen whose telephone number is 703 306-2796. The examiner can normally be reached on 8:30 am - 5:00 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, David K Moore can be reached on 703 308-7452. The fax phone numbers for the organization where this application or proceeding is assigned are 703-872-9306 for regular

Art Unit: 2624

communications and 703-872-9306 for After Final communications. TC 2600's customer service number is 703-306-0377.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703 305-4700.

Wenpeng Chen

Primary Examiner

Art Unit 2624

A handwritten signature in black ink, appearing to read 'Wenpeng Chen', with a stylized, flowing script.

November 17, 2004